

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** TRANSMITTAL 10/622,970 July 17, 2003 **FORM** Filing Date (to be used for all correspondence after initial filing) First Named Inventor Patrick Pignot Group Art Unit 2874 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 229.021 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached **Formal Drawings** Appeals and Interferences Appeal Communication to Group IXI **Preliminary Amendment** Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Other Enclosure(s) (please Change of Correspondence **Extension of Time Request** identify below): Address **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Notification of Remarks Missing Requirements Under 35 **USC 371** Response to Missing Parts under 37 CFR 1.52 or 1.53 DECLARATION SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT David D. Stein, Registration No. 40,828 Firm Boyle, Fredrickson, Newholm, Stein & Gratz, S.C. Individual name Signature 2004 Date ANUARY **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: January 20, 2004 Type or printed name < Dawn M. Oleszak Date Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will/vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient pestage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Dawn M. Oleszak

Date January 20, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Patrick Pignot, et al.

Art Unit: 2874

Serial No.

10/622,970

Examiner: Not Yet Assigned

Filed:

July 17, 2003

Attorney Docket: 229.021

For:

Optical Coupler

## **SECOND PRELIMINARY AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Prior to examination of the above-captioned patent application, please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.